## **Big Data**

Lecture 2 - From SQL to NoSQL: Spark SQL and NoSQL databases

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Centrale DigitalLab, 2022



m SQL to NoSQL Towards NoSQL

## Towards NoSQL

- Hadoop and Spark as distributed data processing frameworks.
- Data from text files stored in a distributed file system (HDFS).

### What we're going to se

- Data can be stored and managed by database systems.
- As opposed to a file system, a database provides:
  - Data model and query language.
  - Indexing and integrity constraints.
  - Fine-grained security mechanisms.
  - Concurrency control.
  - Backup and recovery.
- The most popular database systems are based on the relational data model (

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## The relational data model

- In the relational model, a database is a collection of tables, or relations
- A row in a table (or, a tuple in a relation) describes an entity.
- A column in a table (or, an element in a tuple) represents an attribute of an entity.
- A relationship between two entities is expressed as common values in one or more columns of their respective tables.
- The relational model provides an open-ended collection of scalar types (e.g., boolean, integer . . . ).
  - Open-ended: users are allowed to define custom types.

The values in a given column must have the same type.

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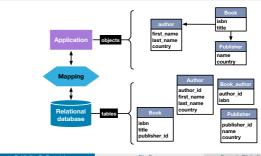
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## Relational data model limitations: impedance mismatch

## $\mathsf{Definition}$ (Impedance mismatch)

Impedance mismatch refers to the challenges encountered when one needs to map objects used in an application to tables stored in a relational database



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## Impedance mismatch: solutions

### Object-oriented databases

- Data is stored as objects.
- Object-oriented applications save their objects as they are.
- Examples. ConceptBase, Db4o, Objectivity/DB.

- Not as popular as relational database systems.
- Requires familiarity with object-oriented concepts.
- No standard query language.

## Impedance mismatch: solutions

### Object relational mappers (ORM)

- Use of libraries that map objects to relational tables.
- The application manipulates objects.
- The ORM library translates object operations into SQL queries.
- Examples. SQLAlchemy, Hibernate, Sequelize.

- Abstraction. Weak control on how queries are translated.
- Portability. Each ORM has a different set of APIs.

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## Limitations of the relational model: graph data

- In a relational databases, tables are normalized.
- Data on different entities are kept in different tables.
- This reduces redundancy and guarantees integrity.
- In a normalized relational database, links between entities are expressed with foreign key constraints.
- Need to join different tables (expensive operation).



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## Limitations of the relational model: data distribution

## Objective of a relational database system

- Privilege data integrity and consistency.
- Different mechanisms to ensure integrity and consistency.
  - Primary and foreign key constraints.
  - Transactions.
- Mechanisms to enforce data integrity and consistency have a cost.
  - Manage transactions.
  - Check that new data complies with the given integrity constraints.
- Things get worse in distributed databases.
  - Data is distributed across several machines.
  - Join operations become very expensive.
  - Integrity mechanisms become very expensive.

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## Distributed database

## Definition (Distributed database)

A distributed database is one where data is stored across several machines, a.k.a, nodes.

### Shared-nothing architecture

- Each node has its own CPU, memory and storage.
- Nodes only share the network connection.

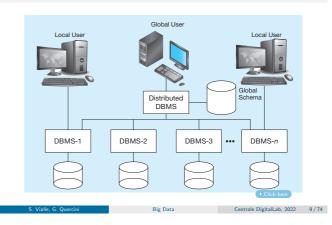
## Pros/cons of a distributed database

- Allows storage and management of large volumes of data. ©
- Far more complex than a single-server database. ③

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From SQL to NoSQL Data distribution

## Distributed database



## Distributing data: when?

- Data distribution is not a good option when the data scale is small.
- With small-scale data, the performances of a distributed database are worse than a single-server database.
  - Overhead. We lose more time distributing and managing data than retrieving it.

- If the data does not fit in a single machine, data distribution is the only option left.
- Distributed databases allow more concurrent database requests than single-server databases.

## Distributing data: how?

## Data distribution options

- Replication. Multiple copies of the same data stored on different
- Sharding. Data partitions stored on different nodes.
- **Hybrid.** Replication + Sharding.

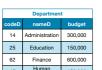
- Location transparency: applications do not have to be aware of the location of the data.
- Replication transparency: applications do not need to be aware that the data is replicated.

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### Replication

- The same piece of data is replicated across different nodes.
  - Each copy is called a replica.
- Replication factor. The number of nodes on which the data is replicated.







Department				
codeD	nameD	budget		
14	Administration	300,000		
25	Education	150,000		
62	Finance	600,000		
45	Human	150,000		



Department			
codeD	nameD	budget	
14	Administration	300,000	
25	Education	150,000	
62	Finance	600,000	
45	Human Resources	150,000	

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## Replication

- Scalability. Multiple nodes can serve queries on the same data.
- Latency. Queries can be served by geographically proximate nodes.
- Fault tolerance. The database keeps serving queries even if some nodes fail.

### Disadvantages

- Storage cost. Storage is used to keep multiple copies of the same
- Consistency. All replicas must be kept in sync.

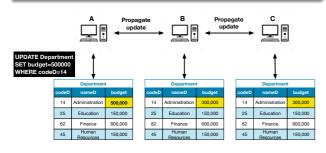
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## Replication

## Replica consistency

When a replica is updated, the other replicas must be updated as well.



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## Replication

### Synchronous updates

- Updates are propagated immediately to the other replicas.
- Small inconsistency window. The replicas will be inconsistent for a short interval of time. ©
- If updates are frequent, the database might be too busy propagating updates than serving queries. ©

- Updates are propagated at regular intervals.
- More efficient when updates are frequent. ©
- Long inconsistency window. ©

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## Replication

## Master-slave replication

- Write operations are only possible on the master node.
- The master node propagates the updates to the slave nodes.
- Read operations are served by both the master and the slave nodes.



## Replication

- Prevents write conflicts. ©
  - Only one replica is written at any given time.
- Single point of failure. ©
  - If the master fails, write operations are unavailable.
  - Algorithms exist to elect a new master.
- Read conflicts are possible. ©







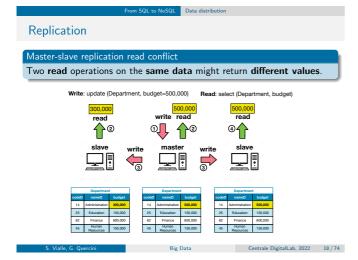


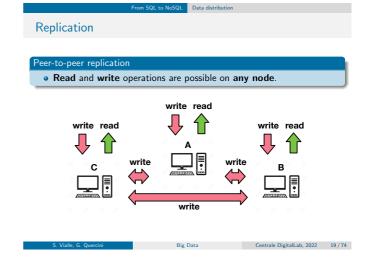


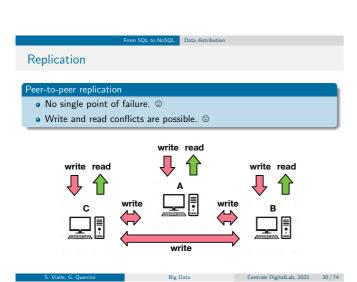
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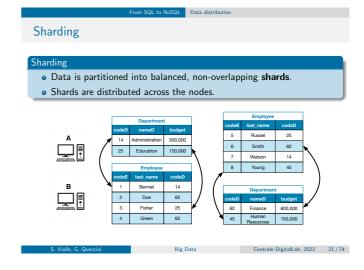
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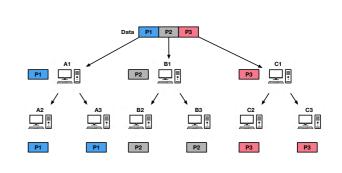


### Advantages

- Load balance. Data can be uniformly distributed across nodes.
- Inconsistencies cannot arise (non-overlapping shards).

## Disadvantages

- When a node fails, all its partitions are lost.
- Join operations might need to be performed across nodes.
- When data is added, shards might need to be rebalanced.



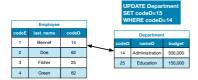
Combining replication and sharding

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### Consistency: first definition

### Definition (Consistency)

A database is consistent if the data respect all the integrity constraints imposed by the database administrator



• Transactions are used to keep a database consistent

# ACID Atomicity, Consistency, Isolation, Durability.

## Consistency vs Availability

- Data being manipulated by a transaction is locked.
  - Locked data is unavailable for both read and write operations.
- Locking guarantees the consistency of the database.
- Locking reduces the availability of the database.

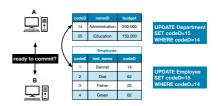
## Relational vs NoSQL databases

- Relational databases favor consistency over availability.
  - ACID-compliant databases
- NoSQL databases favor availability over consistency.
  - BASE: Basic Availability, Soft state, Eventually consistent.

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### Consistency in distributed databases

- Distributed transactions are used to keep a distributed database
- Transaction managers in all the nodes involved in the transaction need to communicate before committing.
- This communication is expensive.



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## Consistency: second definition

### Definition (Consistency)

A (distributed) database is consistent if reads and updates behave as if there were a single copy of the data. ( • so

- This second definition of consistency refers to replication consistency
- Enforcing (strong) consistency creates problems with availability.
- What to do when the nodes of a cluster cannot communicate (network issues)?

The CAP theorem describes the relation between consistency, availability and partition tolerance.

The CAP theorem

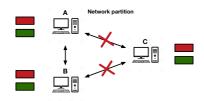
Theorem (CAP, Brewer 1999)

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## The CAP theorem

## cy (C), Availability (A), Partition tolerance (P)

- Consistency. As intended by the second definition.
- Availability. A database can still execute read/write operations when some nodes
- Partition tolerance. The database can still operate when a network partition



tolerance, a networked shared-data system can have at most two of these properties.

Given the three properties of consistency, availability and partition

Suppose that the system is partition tolerant (P). When a network partition occurs, we have two options.

- Allow write operations. This makes the database available (A), but not consistent (C).
  - Some of the replicas might not be synced due to the network partition.
- ② Disable write operations. This makes the database consistent (C) but not available (A).

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## Theorem (CAP, Brewer 1999)

The CAP theorem

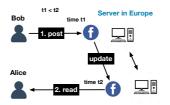
Given the three properties of consistency, availability and partition tolerance, a networked shared-data system can have at most two of these properties.

### Proof

- The only way that we can have a consistent (C) and available (A) database is when network partitions do not occur.
- But if we assume that network partitions never occur, the system is not partition tolerant (P).
  - When there isn't any network partition, the CAP theorem does not impose constraints on availability or consistency.

The CAP theorem

## Why choosing availability over consistency?



Alice does not see Bob's post between t1 and t2. Is it really an issue?

Server in USA

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From SQL to NoSQL CAP theorem

## CAP theorem and NoSQL databases

## CP Databases

- MongoDB.
- CouchDB.
- Redis.
- HBese.

## AP database:

- Cassandra
- DynamoDB.

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m SQL to NoSQL NoSQL databases

NoSQL databases

## NoSQL: interpretations of the acronym

- Non SQL: strong opposition to SQL
- Not only SQL: NoSQL and SQL coexistence.

- Address the object-relational impedance mismatch.
- Provide better scalability for distributed databases.
- Provide a better modeling of semi-structured data.

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## NoSQL databases

- Key-value databases.
- Document-oriented databases.
- Column-oriented databases
- Graph databases.
- The first three families use the notion of aggregate to model the
  - They differ in how the aggregates are organized.
- Graph databases are somewhat outliers.
  - They were not conceived for data distribution in mind.
  - They were born ACID-compliant.
  - There is not a single NoSQL database and there is not a "NoSQL" query language.

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## Aggregate

- An aggregate is a data structure used to store the data of a specific entity.
  - In that, it is similar to a row in a relational table.
- We can nest an aggregate into another aggregate.
  - This is a huge difference from a row in a relational table.
- An aggregate is a unit of data for replication and sharding.
  - All data in an aggregate will never be split across two shards.
  - All data in an aggregate will always be available on one node.
  - Unlike a relational database, we can control how data is distributed.

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## n SQL to NoSQL NoSQL databases

## Aggregate vs relational row

- In a relational database, the following table would not be in first normal form.
- The column categories contains a list of values.
  - Searching for all products in category kitchen would be hard with SQL.

article_id	name	producer	categories
234543	Bamboo utensil spoon	KitchenMaster	home, kitchen, spatulas

In a relational database, we can address this problem by normalizing the table.

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## First normal form

- The following table is in first normal form.
- But we introduced redundancy.

Aggregate vs relational row

- What if we update the producer name of the article 234543?
- In a distributed database, the rows corresponding to this article might be on different nodes.

article_id	name	producer	categories
234543	Bamboo utensil spoon	KitchenMaster	home
234543	Bamboo utensil spoon	KitchenMaster	kitchen
234543	Bamboo utensil spoon	KitchenMaster	spatulas

We can further normalize the table to avoid redundancy.

From SQL to NoSQL NoSQL databases

## Aggregate vs relational row

## econd normal form

- To avoid redundancy, we split the table into three tables in second
- In a distributed database, the rows in these tables might be on different nodes.
  - We might need cross-node join operations, which are very expensive

article			
article_id name		producer	
234543	Bamboo utensil spoon	KitchenMaster	

article_category		category	
article_id	category_id	category_id	name
234543	1	1	kitchen
234543	2	2	home
234543	3	3	spatulas

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From SQL to NoSQL NoSQL databases

## Aggregate vs relational row

### Aggregate

- In an aggregate, list of values are allowed.
- Searching for all products in category kitchen is supported.

```
"article_id": 234543,
  "name": "Bamboo utensil spoon",
  "producer": "KitchenMaster",
  categories: ["home", "kitchen", "spatulas"]
}
```

All data in an aggregate is never split across different nodes.

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From SQL to NoSQL NoSQL databases

- Denormalization is allowed in the aggregate.
- Data that are queried together are stored in the same node.

```
"code_employee": 12353,
  "first_name": "John",
  "last_name": "Smith",
  "salary": 50000,
  "position": "Assistant director",
 department: {
      "dept_code": 12,
      "dept_name": "Accounting",
      budget: 120000
}
```

- Aggregates are schemaless.
- Aggregates might not have the same attributes.

```
"code_employee": 12353,
"first_name": "John",
"last_name": "Smith",
   "salary": 50000,
   "position": "Assistant director".
   department: {
         "dept_code": 12,
"dept_name": "Accounting",
         budget: 120000
}
```

"code": 345321,
"first\_name": "Jennifer"
"last\_name": "Green",

We don't need to fix a rigid the schema. NULL values are avoided.

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```
"code_employee": 12353,
"first_name": "John",
"last_name": "Smith",
"salary": 50000,
"position": "Assistant director",
departments: [
      "dept_code": 12,
      "dept_name": "Accounting",
     budget: 120000
      "dept_code": 145,
"dept_name": "HR",
     budget: 250000
```

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From SQL to NoSQL NoSQL databases

```
"code_employee": 12353,
"first_name": "John",
"last_name": "Smith",
"salary": 50000,
"position": "Assistant director",
departments: [
     "dept_code": 12,
     "dept_name": "Accounting",
    budget: 120000
                                       We can update atomically the
     "dept_code": 145,
     "dept_name": "HR",
                                       salary of an employee. How would
    budget: 250000
                                        we represent the same in a rela-
                                        tional database?
```

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From SQL to NoSQL NoSQL databases

- We use a denormalized table (same as aggregate).
- However, we have no guarantees that the rows relative to the employee John Smith will be stored in the same node.

code_emp	first_name	last_name	salary	position	dept_code	dept_name	budget
234543	John	Smith	50000	Assistant director	12	Accounting	120000
234543	John	Smith	50000	Assistant director	145	HR	250000

The update of the salary of a single employee might be a crossnode operation

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n SQL to NoSQL NoSQL databases

```
"code_employee": 12353,
"first_name": "John",
"last_name": "Smith",
"salary": 50000,
"position": "Assistant director",
departments: [
    "dept_code": 12,
    "dept_name": "Accounting",
budget: 120000
    "dept_code": 145,
                              ■ Updating the information on a
    "dept_name": "HR"
                                  department is a non-atomic op-
    budget: 250000
                                   eration
```

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From SQL to NoSQL NoSQL databases

## Aggregate-based NoSQL databases

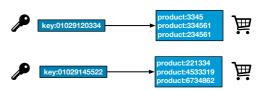
- Aggregates are schemaless.
  - No need to adhere to a rigid schema.
  - Flexible evolution of the database.
- Normalization is not required.
  - We accept some redundancies in exchange of faster queries.
  - Remember: storage hardware is cheap today.
- All data in an aggregate is stored in a single node.
  - With aggregates, we are in control of how the data is distributed.
- In general, updates on an aggregate are atomic operations.
  - If an update entails many write operations, either all are executed or
- Cross-aggregate updates are not guaranteed to be atomic.
  - Multi-aggregate transactions might be supported and used if necessary.

m SQL to NoSQL NoSQL databases

# Key-value databases

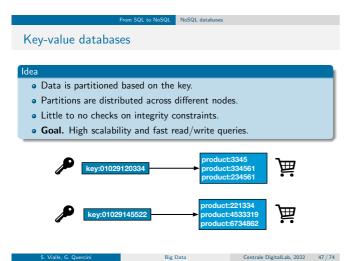
Data are modeled as key-value pairs.

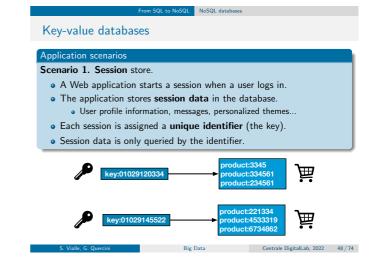
- Key: alphanumeric string, usually auto-generated by the database.
- Value: an aggregate.
- Query: get an aggregate given its key.



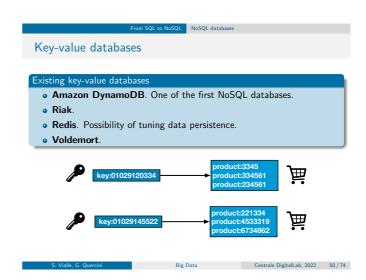
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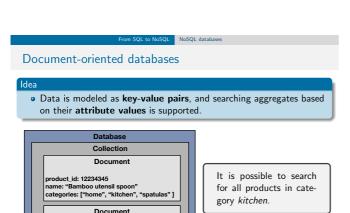
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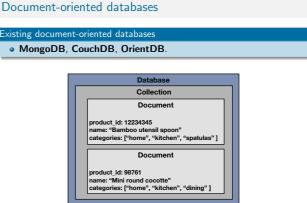


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duct id: 98761

name: "Mini round cocotte" categories: ["home", "kitchen", "dining" ]



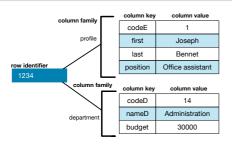
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Column-oriented databases

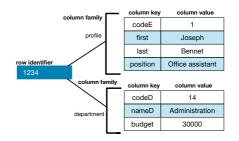
• Similar to document-oriented database but. an aggregate can be broken into smaller data units called columns



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Column-oriented databases

- Columns can be organized into column families.
- Columns in the same family are stored on the same node.



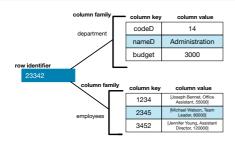
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## Column-oriented databases

• The value of a column can be an aggregate (wide column).



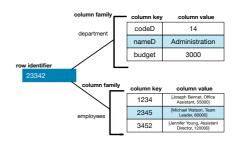
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## Column-oriented databases

### Existing column-oriented databases

• Cassandra, HBase, BigTable (Google).



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SQL NoSQL databases

## Graph databases

- Their data model is optimized for storing and retrieving graph data.
- Relationships are first-class citizens
  - In relational databases they are implicit in foreign key constraints.
  - In aggregate-based NoSQL stores, they are represented with nested aggregates or references.
- Existing graph databases: Neo4j, InfiniteGraph, AllegroGraph.

## NoSQL databases: conclusions

- NoSQL databases are not going to replace relational databases.
- Use of different data storage technologies based on the data type.
- This is called polyglot persistence.



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MongoDB general concepts

### MongoDB

- General-purpose database system based on the document data
- MongoDB Community: open-source and free edition of MongoDB.
- MongoDB Enterprise: needs a subscription.
- A record in MongoDB is stored in a document.
  - · A document is an aggregate
- Documents are stored in collections.
  - A collection is similar to a relational table
- A MongoDB database is a set of collections.

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From SQL to NoSQL Data modeling in MongoDB

## Data modeling

- Data modeling in relational databases is guided by normalization.
- In MongoDB, data modeling can but does not have to follow normalization rules

### Data modeling criteria

- Consider the application usage of data (queries, updates).
- Consider the inherent structure of the data.

## Consider a collection of documents:

- Documents do not have to have the same fields.
- The data type for a field can differ across documents.

It is possible to specify schema validation criteria to make sure documents have a similar structure.

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QL to NoSQL MongoDB

• Impedance mismatch reduction.

MongoDB characteristics

- Documents are JSON objects.
- One-to-one mapping to objects in programming languages.
- Flexible schema.
  - Documents in the same collections do not have to have the same fields.
- Rich guery language.
  - Data aggregation.
  - Text and geospatial queries.
- High availability.
  - Data redundancy with replication
  - Automatic failover
- Horizontal scalability.
  - Sharding distributes data across several machines.
  - Support for the creation of zones of data.

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m SQL to NoSQL Data modeling in MongoDB

## Data modeling

- It is possible to embed documents in a MongoDB document.
- Denormalized data allow applications to retrieve and manipulate related data in a single database operation.

```
"_id":"movie:1",
"title":"Vertigo",
"country": "DE",
"director":{
  " id": "artist: 3".
  first_name: "Alfred",
   "last_name":"Hitchcock"
```

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SQL to NoSQL Data modeling in MongoDB

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## Data modeling

```
"_id":"movie:1",
"title":"Vertigo",
  "country":"DE",
 "actors": [
        "_id": "artist:15",
       "first_name": "James",
"last_name": "Stewart",
"role": "John Ferguson"
         _id: "artist:16",
       first_name: "Kim",
last_name: "Novak"
]
```

SQL to NoSQL Data modeling in MongoDB

## Data modeling

- Documents can store references to other documents.
- References are used instead of embedded documents.
- Used to reduce data redundancy.

## Collection movie

```
"_id":"movie:1",
"title": "Vertigo",
"country":"DE",
"director": "artist:3"
```

# Collection artist "\_id":"artist:3", first\_name: "Alfred", "last\_name":"Hitchcock"

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SQL to NoSQL Data modeling in MongoDB

## Data modeling

One-to-one relationshir

## m SQL to NoSQL Data modeling in MongoDB

# Data modeling

### Denormalized data

- Ability to retrieve related data in a single database operation. ©
- Update related data in a single atomic write operation. ©
- Data redundancy. ③

- Useful when embedding would result in data redundancy with no or little improvement for read operations. ©
- Useful to represent complex many-to-many relationships. ©
- Splits data across different documents (need for join operations). ③

```
• Example. One department has only one manager (and that person
 can only manage one department).
• Use an embedded document
```

```
"_id": "dept:1",
"name": "Acconting",
 budget: 50000,
budget: 30000,
manager: {
    "_id": "emp:1",
    "first_name": "John",
    "last_name": "Smith",
    "salary": 80000
```

From SQL to NoSQL Data modeling in MongoDB

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## Data modeling

### One-to-few relationship

- Example. The addresses of a person.
- Use an embedded document.

```
"_id": "pers:1",
   "first_name": "John",
"last_name": "Smith",
   addresses: [
       {street: "123 Sesame St", "city": "New York City", "country": USA}, {street: "3 House Avenue", "city": "New York City", "country": USA}
}
```

Difficult to find all people from New York City!

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From SQL to NoSQL Data modeling in MongoDB

## Data modeling

- Example. A product is composed of several hundred replacement
- Use normalized documents.

```
Collection Product
 "_id":"product:1",
 "name": "Smoke detector"
 "manufacturer": "SmokeSafety Inc."
  "parts": ["part:345", "part:213"]
```

"\_id":"part:345", "partno": "123-aff-456", "cost": 0.94

The same model can represent a many-to-many relationship.

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n SQL to NoSQL Data modeling in MongoDB

## Data modeling

## One-to-squillions relationship

- Example. Log messages associated to a host.
- Each host might be associated to millions of log messages.
- Use normalized documents.

## Collection Host " id":"host:1", "name": "host.example.com", "ipaddr": "192.168.3.2"

# Collection LogMessage "\_id":"msg:1", "message": "CPU failure" "host": "host:1"

size limit of 16MB

m SQL to NoSQL Data modeling in MongoDB

## Data modeling

- Example. We need to track tasks assigned to people.
- The application needs to retrieve the tasks assigned to a person.
- The application needs to get the person responsible for specific tasks.
- References are stored in both documents.

```
"_id":"person:1",
"name": "John Smith".
"tasks": ["task:1",
"task:7"]
```

"\_id":"task:1",
"description": "Budget finalization" "due\_date":ISODate("2021-04-01"), "responsible": "person:1"

lacksquare Reassigning a task to another person entails two updates.

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m SQL to NoSQL Data modeling in MongoDB

## Data modeling

## SQL to NoSQL Data modeling in MongoDB

# Data modeling

### Half-way denormalization

- Example. Employees and the departments where they work.
- Fully denormalized schema: all properties of a department are embedded in an employee document.
- Problem. Updating the department budget can be expensive.

```
"_id":"emp:1",
                                                                                    "_id":"emp:1",
                                                                                   "name": "Jennifer Young",
"salary": 70000,
"name": "John Smith",
 "salary": 50000,
"salary": 50000,
"position": "secretary",
"department": {
    "_id": "dept:1",
    "name": "Accounting",
    "budget": 12000
                                                                                   "salary": 70000,
"position": "director",
"department": {
    "_id": "dept:1",
    "name": "Accounting",
    "budget": 12000
                                                                                                 Centrale DigitalLab, 2022 71 / 74
```

### Half-way denormalizat

• Solution. Only denormalize the fields that are queried often together with the parent document.

```
"_id":"emp:1",
"name":"John Smith",
"salary": 50000,
"position": "secretary",
"department": {
   "_id": "dept:1",
   "name": "Accounting"
                                                                "_id":"dept:1",
                                                                " budget": 12000
                                                         Big Data Centrale DigitalLab, 2022 72 / 74
```

From SQL to NoSQL Data modeling in MongoDB

## Data modeling - Exercise

We want to create a database in MongoDB for managing information about students in a school and the courses they take. For each student, we want to store his/her name, first name and number; for each course, we want to store its title, the number of credits and the name of the lecturers.

- Propose a normalized solution. How many read operations would you need to get the title of all the courses followed by a student?
- Discuss a possible denormalized solution. How many read operations would you need to get the title of all the courses followed by a student?

References

- Jules Damji et al. Learning Spark: Lightning-Fast Data Analytics. "O'Reilly Media, Inc.", 2020.
- Hoffer, Jeffrey A. Modern Database Management. 10/e. Pearson Education India, 2011.

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